

70/60/07  
1054 U.S. PTO

07-10-01

PTO/SB/05 (11-00)

Approved for use through 10/31/2002 OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	SH-1
First Inventor	Sarah Black
Title	"PHEONOTYPIC CORRELATION PROCESS"
Express Mail Label No.	EL 903745060 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 25]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 2 ]
5. Oath or Declaration [ Total Pages 3 ]
  - a.  Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6.  Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of (when there is an assignee)  Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. **See Attachment**
17.  Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation  Divisional  Continuation-in-part (CIP)

of prior application No. /

Prior application information.

Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	(Insert PTO Bar Code Label Here)		<input type="checkbox"/> or <input type="checkbox"/> Correspondence address below
Name	27157		
PATENT TRADEMARK OFFICE			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Howard J. Greenwald	Registration No. (Attorney/Agent)	24,247
Signature	7/9/01		
Date			

Burden Hour Statement: This form is estimated to take 0 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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09/01/01

07/09/01

**Attorney Docket No.:** SH-1  
**First Inventor:** Sarah Black  
**Title:** "PHEONOTYPIC CORRELATION PROCESS"  
**Express Mail Label No.:** EL 903745060 US

**UTILITY PATENT APPLICATION TRANSMITTAL (PTO/SB/05)**

**17. Other Accompanying Application Parts (Cont'd):**

Utility Patent Application Transmittal

Certificate of Express Mailing

Check

PTO/SB/05 2006-06-06

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

EXPRESS MAIL MAILING LABEL NUMBER: **EL 903745060 US**

DATE OF THE DEPOSIT: 7/9/01

I hereby certify that the application identified herein as:

**"PHEONOTYPIC CORRELATION PROCESS"**

including the following documents:

**Specification ( 25 Pages):**

**Drawings ( 2 Pages):**

**Utility XXX Design        Provisional        Patent Application:**

**Declaration**

**Fee Transmittal Form**

**Utility Patent Application Transmittal**

**Certificate of Express Mailing**

**Request and Certification Form**

**Return Postcard**

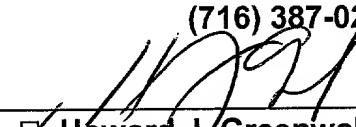
**Check 2529 for \$ 355.00.**

is being deposited with the United States Postal Service, using "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10, on the date indicated above, and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

PERSON MAILING THE APPLICATION:

**349 West Commercial Street  
Suite 2490  
East Rochester, New York 14445  
(716) 387-0280**

SIGNATURE OF MAILER:

  
 **Howard J. Greenwald, Esq. (Reg. No. 24,247)**  
 **Duane C. Basch, Esq. (Reg. No. 34,545)**  
 **Suzanne T. Stockman**  
 **Lora A. Reynard**  
 **Other: \_\_\_\_\_**

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# FEE TRANSMITTAL

## for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 355.00)

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Sarah Black
Examiner Name	
Group / Art Unit	
Attorney Docket No.	SH-1

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-1706

Deposit Account Name Greenwald &amp; Basch LLP

 Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.172.  Payment Enclosed: Check  Money  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 690	201 345	Utility filing fee	355.00
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$ 355.00)

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20	-20**	= 0	= 0
Independent Claims 1	- 3**	= 0	= 0
Multiple Dependent			= 0

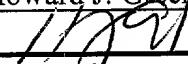
\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	** Reissue Independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 0.00)

Complete (if applicable)

Name (Print/Type)	Howard J. Greenwald	Registration No. (Attorney/Agent)	24,247	Telephone	716,387-0280
Signature				Date	7/19/01

## WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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